

## Neurodevelopmental outcome at early school age in a Swiss national cohort of very preterm children

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### Summary

**BACKGROUND:** Infants born very preterm are at higher risk of long-term neurodevelopmental problems than children born at term. Although there are increasing numbers of reports on outcomes from international cohorts of premature infants, a Swiss national report on infants after 2 years of age is lacking.

**AIMS OF THE STUDY:** To describe neurodevelopmental outcomes at early school age of preterm children born in Switzerland with a special focus on the cognitive abilities.

**METHODS:** This prospective national cohort study included children born alive before 30 weeks of gestation in 2006. At 5 years of age, children underwent a neurological examination and intelligence testing with the Kaufman Assessment Battery for Children first edition (K-ABC). We assessed the mental processing composite score (MPC) and its subscales to explore specific cognitive deficits. The primary outcome was cognitive impairment (MPC score <-1 standard deviation from the normative mean), motor impairment (cerebral palsy), or sensory impairment (any visual or hearing deficiency). The need for early intervention or therapies and the association of perinatal factors with cognitive impairment were secondary and tertiary outcomes. Logistic regression models were used to analyse associations between neonatal factors and cognitive outcome.

**RESULTS:** Of 289 survivors, 235 were assessed. Of the 199 children with results obtained from the K-ABC, 42 (21%) showed cognitive impairment and 80 (40%) showed impairment in short-term memory. Cerebral palsy was diagnosed in 14 (6%), and visual and auditory impairment in 36 (15%) and 12 (5%) children, respectively; 63 (27%) needed early intervention or therapies. Cognitive impairment was associated with low socioeconomic status, but

not with gestational age, small birthweight for gestational age, bronchodysplasia, or significant brain injury. A total of 146 children (63%) survived without any impairment.

**CONCLUSION:** This is the first study to report neurodevelopmental outcomes at early school age in a Swiss cohort. The majority had favourable outcomes, but 21% of children demonstrated cognitive impairment, which was most pronounced in short-term memory. Our findings were similar to those of international cohorts and indicate that preterm children born before 30<sup>0/7</sup> gestational weeks, especially those living in unfavourable social environments, are at an increased risk of cognitive impairment and need close monitoring beyond early school age.

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**Keywords:** Very preterm infants, neurodevelopmental outcome, cognition, early school age, therapies and early intervention

### Introduction

Progress in obstetric management and neonatal intensive care has improved the survival of very preterm (VP) (gestational age <32<sup>0/7</sup> weeks), and especially of extremely preterm (EP) (gestational age <28<sup>0/7</sup> weeks) infants [1]. Recent long-term follow-up studies do not report a reduction in severe morbidity in this vulnerable population [2].

#### ABBREVIATIONS:

<b>VP</b>	very preterm
<b>EP</b>	extremely preterm
<b>GW</b>	gestational weeks
<b>MPC</b>	Mental Processing Composite
<b>K-ABC</b>	Kaufman Assessment Battery for Children first edition
<b>GMFCS</b>	Gross Motor Classification System

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Cognitive, language and emotional or behavioural difficulties are found in around 30%, cerebral palsy in 10–15% [3] and visual or hearing impairments in 3–5% [4] of preschoolers born before 34<sup>0/7</sup> gestational weeks (GW). A substantial proportion (15–20%) of children born VP require physical and occupational therapy, speech and language therapy, early intervention, psychological support [5] or remedial assistance in school, which represents a significant economic burden for society and families.

In Switzerland, VP children represent 1% of the annual births (<https://www.bfs.admin.ch/>). A previous Swiss national cohort study demonstrated that a third of children born EP suffer from moderate to severe neurodevelopmental impairment at a corrected age of 2 years [6]. Studies have shown the limited validity of early developmental assessment (between 1 and 3 years of age) in predicting cognitive deficits at school age [7]. However, a recent study reported a good correlation between the intelligent quotient in adulthood (26 years) and the one at 4 years in VP children with persistent cognitive impairment and at 6 years of age in VP children without cognitive impairment [8]. Assessments of recent cohorts of VP children at early school age are scarce. However, a recent Dutch study showed that 50–75% of children born at <30<sup>0/7</sup> GW still have motor and/or cognitive impairment between 5 and 6 years of age [9]. Therefore, it seems important to increase our understanding of neurodevelopmental outcomes at early school age to improve prognostication. The goal is to provide early therapeutic strategies to impact positively later cognitive development and social integration.

The primary aim of our exploratory study was to describe neurodevelopmental outcome at early school age in a Swiss national cohort of children born before 30<sup>0/7</sup> GW in 2006, with a specific focus on cognitive outcomes. The second aim was to assess the number of children who received early intervention or therapies. The third aim was to investigate which perinatal factors were associated with impaired cognitive outcome.

## Methods

### Study population

This study is a prospective multicentre population-based cohort study. Children born alive at <30<sup>0/7</sup> GW in Switzerland between January 1 and December 31 2006 and registered in the Swiss Neonatal Network and Follow-up Group were included. Children with congenital malformations affecting neurodevelopment (complex congenital heart diseases, hiatal hernia, polymicrogyria, midline malformation syndromes, myelomeningocele) were excluded, as were children from centres that did not routinely perform standardised cognitive assessment at 5 years of age. Monitored children were examined in the participating Swiss development centres by developmental paediatricians, paediatric neurologists and developmental psychologists.

### Ethics

Parents were informed during the neonatal stay that all data concerning their VP infants would be collected and registered in the national database. Data collection and evaluation were approved by the institutional ethical re-

view board (KEK-ZH-Nr20140552) and the Swiss Federal Commission for Privacy Protection in Medical Research.

### Data collection and definition of neonatal variables

Demographic, perinatal and neurodevelopmental outcome data, as well as the use of early intervention or therapies (physical therapy, occupational therapy, speech therapy, psychomotor therapy, psychological therapy) at early school age, were routinely recorded online with a secure interface protecting confidentiality and applying completeness and plausibility checks. Gestational age was based on the best estimate from early ultrasound or last menstrual period. Birth weight z-score calculations, patent ductus arteriosus, neonatal sepsis, bronchopulmonary dysplasia, necrotising enterocolitis [10], major brain injury (such as intraventricular haemorrhage grade 3 or 4 and/or cystic leukomalacia) and retinopathy of prematurity were defined as previously published for this cohort. Socioeconomic status (SES) was estimated from maternal education and paternal occupation using a validated 12-point score (range 2–12) [11], 2 being the highest socioeconomic level.

### Neurodevelopmental assessment

Cognition was assessed around the children's fifth birthday using the German, French and Italian versions of the Kaufman Assessment Battery for Children first edition (K-ABC) [12], validated in the general population and in VP children. The Mental Processing Composite (MPC) scale, considered equivalent to an intelligence quotient, is a general measure of cognitive ability and is derived from a combination of the two subscales of sequential and simultaneous processing. The sequential processing subscale primarily measures short-term memory with two subtests targeting auditory short-term memory (word order and number recall) and one subtest testing visual short-term memory (hand movements). The simultaneous processing subscale examines the abilities to solve problems related to visuospatial information: matrix analogies (logical reasoning), triangles (visuospatial processing), spatial memory and gestalt closure (visual perception).

Each scale and subscale is standardised to a mean (standard deviation [SD]) of 100 (15), and each subtest to a mean of 10 (3). If the MPC score was below 40 (the lowest score on the K-ABC), the child was assigned a score of 39. Mild cognitive impairment was defined as MPC score <−1; ≥−2 SD and moderate to severe cognitive impairment <−2 SD below the normative mean.

Each child underwent a standardised neurological examination. Cerebral palsy was defined according to the definition published by Rosenbaum et al. [13] and classified according to the guidelines of the Surveillance Group of Cerebral Palsy in Europe [14]. Gross motor function was classified according to the Gross Motor Classification System (GMFCS) [15]. We further categorised participants with cerebral palsy into community walkers (GMFCS 1–2) and non-community walkers and wheelchair-dependent (GMFCS 3–5).

Major visual impairment was defined as blindness, and minor visual impairment as the presence of squint or refractive errors. Major hearing impairment was defined as hearing loss not corrected by hearing aids, and minor im-

pairment as hearing loss corrected with aids (40–90 dB hearing level).

### Statistical analysis

Statistical analysis was performed with STATA 14 software and R (3.4.2). All demographic and developmental data are described as frequencies and percentages for categorical data and as means and standard deviations or medians and ranges, as appropriate, for continuous data. For categorical data, differences were assessed using the chi-squared test or Fisher's exact test, as appropriate. For continuous data, the Student's t-test or the Mann-Whitney U-test were used, as appropriate. Comparisons are presented as odds ratios [16] or mean differences, with 95% confidence intervals (CIs) and p-values. A two-tailed p-value <0.05 was considered significant for all analyses. No adjustment was made for multiple comparisons.

Univariable logistic regressions were conducted to define individual associations between neonatal factors known from the literature [6, 16–18] to influence neurodevelopmental outcome (gestational age, gender, birthweight z-score, antenatal corticosteroids, patent ductus arteriosus, necrotising enterocolitis, bronchopulmonary dysplasia, major brain injury, socioeconomic status) and cognitive impairment defined as MPC score <−1SD. Only variables with a p-value below 0.2 in the univariable setting were included in the final multivariable model; the other variables were excluded as they had no influence on the model. Results are reported as odds ratios with 95% CIs.

## Results

### Participants

In 2006, 73,371 infants were born alive in Switzerland. Of these, 405 were born before 30<sup>0/7</sup> GW. A flow chart of study recruitment and follow-up is shown in figure 1. We excluded two children because of a trisomy 21 and valproic acid embryopathy and 22 children because they were born in three centres that did not perform standardised cognitive assessment at 5 years of age. The mortality rate was 24% (92/381), with 36 infants dying in the delivery room, 55 in neonatal intensive care, and 1 in the first year of life. All infants born at 23 completed GW (n = 19) died in the delivery room. The proportion of infants surviving increased with gestational age from 27% at 24<sup>0/7</sup>–24<sup>6/7</sup> weeks to 93% at 29<sup>0/7</sup>–29<sup>6/7</sup> weeks (see supplementary table S1 in appendix 1).

At early school age, 289 surviving children were invited to be assessed in the Swiss 5-year follow-up programme, of whom 235 (81%) were examined; in total, 123 were born between 28<sup>0/7</sup> GW and <29<sup>6/7</sup> GW and 112 were born <28<sup>0/7</sup> GW. Reasons for non-participation included moving (n = 9, 17%), parental refusal (n = 11, 20%), age of assessment outside the targeted range of 4.5 and 6.5 years (n = 7, 13%) and loss to follow-up (n = 27, 50%). Baseline characteristics were similar between monitored (n = 235) and lost to follow-up children (n = 54; table 1) and only statistically different for twin births between monitored (n = 235) and excluded children (n = 22; table S2 in appendix 1).

**Table 1:** Comparison of baseline and neonatal characteristics of children with and without a follow-up at early school age.

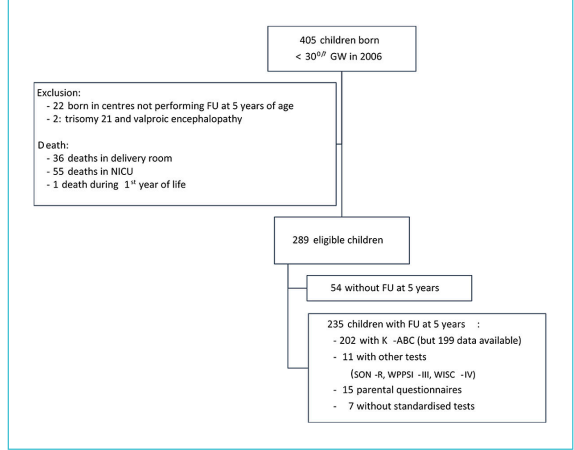
	Children with follow-up (n = 235) n (%) or median (IQR)	Children without follow-up (n = 54) n (%) or median (IQR)	p-value
Antenatal steroids (completed)	209 (89%)	47 (87%)	0.69
Caesarean delivery	182 (77%)	40 (74%)	0.09
Singleton	163 (69.3%)	40 (74%)	0.60
Inborn	218 (92.8%)	50 (92.6%)	1.00
Male gender	129 (55%)	25 (46%)	0.23
Gestational age (weeks)	28.1 (26.7–29)	28.3 (26.6–29)	0.46
Birthweight z-score	−0.04 (−0.65 – +0.53)	0.01 (−0.64 – +0.4)	0.90
Umbilical artery pH	7.32 (7.27–7.35)	7.31 (7.25–7.34)	0.22
PDA	90 (38%)	18 (33%)	0.50
Surgical ligation	9 (4%)	4 (7%)	0.27
Bronchopulmonary dysplasia	9 (4%)	2 (3.5%)	0.99
Necrotising enterocolitis	10 (4.3%)	0	0.99
Proven neonatal sepsis	46 (19.6%)	7 (13%)	0.30
Major brain lesions	22 (9.3%)	2 (3.7%)	0.30
ROP stage 3 or higher	5 (2%)	2 (3.5%)	0.45
Length of hospital stay (in days)	76 (59–73)	71.5 (55.5–90)	0.23
SES score at birth	6 (4–8)	6 (4–8)	0.20

IQR = interquartile range; PDA = patent ductus arteriosus; ROP = retinopathy of prematurity; SES = socioeconomic status (score range 2–12; score 2 is the highest socioeconomic level, 12 the lowest socioeconomic level) p-values are from the Mann-Whitney U-test for continuous variables, and Fisher exact or chi-squared tests for categorical or binary outcomes. Proven neonatal sepsis is grouped as early- and late-onset sepsis. Major brain lesions included cystic leukomalacia and intraventricular haemorrhage grade 3 and 4.

**Cognitive outcome**

Of the 235 children seen for the 5-year follow-up, 202 were assessed with the K-ABC at a median age of 5.7 years (interquartile range [IQR] 5.5–6.0), of whom 199

**Figure 1:** Study recruitment and follow-up. GW = gestational weeks; FU = follow up; NICU = neonatal intensive care unit; K-ABC = Kaufman Assessment Battery for Children, first edition; SON-R = Snijders-Oomen non-verbal intelligence tests; WPPSI-III = Wechsler Preschool and Primary Scale of Intelligence, third edition; WISC-IV = Wechsler Intelligence Scale for Children, fourth edition.



had available scores (fig. 1). Cognitive outcomes are presented in table 2. The median (IQR) MPC score was 92 (86–101); 17.5% of the children showed mild cognitive impairment (MPC <math>< -1</math>; >math>\geq -2</math> SD) and 3.5% moderate to severe cognitive impairment (MPC <math>< -2</math> SD). The proportion infants with of cognitive impairment was higher in boys (26/107) than in girls (9/92,  $p = 0.009$ ). Impairment (<math>< -1</math> SD) in cognitive subdomains was found in 84 (42%) and 80 children (40%) in subtests assessing verbal short-term memory (word order and number recall, respectively), 60 children (31%) in visual short-term memory (hand movements), 61 children (31%) in logical reasoning (matrix analogies), 53 children (27%) in visuospatial processing (triangles), 45 children (23%) in spatial memory, and 23 children (12%) in visual perception (gestalt closure). Table S1 shows the cognitive outcomes by week of gestational age.

**Motor and sensory outcome**

Motor and sensory outcomes at a median age of 5.7 years are summarised in table 3. Cerebral palsy was present in 14 children (6%). The cerebral palsy rate was not different between children born <math>< 28^{0/7}</math> GW and children born between <math>28^{0/7}</math> and <math>29^{6/7}</math> GW. Seventy percent of children who de-

**Table 2:** Overview of cognitive outcomes with subtest scaled scores by sex grouped by both scales composing the Mental Processing Composite.

		Whole cohort n = 199	Boys n = 107	Girls n = 92	Boys versus Girls	
		Mean (SD)	Mean (SD)	Mean (SD)	Mean difference (95% CI)	
MPC score		92.5 (12.4)	91.7 (1.3)	93.5 (1.2)	-1.8 (-5.3 – +1.7)	
Sequential processing	Overall	91.9 (14)	91.5 (14.7)	92.4 (14)	-0.9 (-4.9 – +3.2)	
	Word order	7.4 (2.8)	7.2 (2.8)	7.7 (2.9)	-0.5 (-1.3 – +0.3)	
	Number recall	7.2 (2.3)	7.2 (2.4)	7.2 (2.4)	0.1 (-0.6 – +0.8)	
	Hand movements	8 (2.7)	7.9 (2.9)	8.1 (2.6)	-0.17 (-0.95 – +0.6)	
Simultaneous processing	Overall	93.8 (15)	92.6 (15.4)	95.1 (14.6)	-2.5 (-6.7 – +1.7)	
	Matrix analogies	7.5 (2.7)	7.4 (2.9)	7.7 (2.6)	-0.3 (-1 – +0.5)	
	Triangles	8.4 (3.2)	8.2 (3.4)	8.6 (3)	-0.3 (-1.25 – +0.6)	
	Spatial memory	8.5 (3.2)	8.4 (3.2)	8.5 (3.3)	-0.6 (-1 – +1)	
	Gestalt closure	10.6 (3.2)	10.5 (3.4)	10.8 (3)	-0.4 (-1.3 – +0.55)	
		n (%)	n (%)	n (%)	Odds ratio (95% CI)	
MPC score		<math>< -1</math> SD	42/199 (21)	29/107 (27)	13/92 (14)	<b>2.2 (1.1–4.5)</b>
		<math>< -2</math> SD	7/199 (3.5)	3/107 (2.8)	4/92 (4.3)	0.6 (0.14–2.85)
Sequential processing	Overall	<math>< -1</math> SD	60/199 (30.1)	34/107 (31.8)	26/92 (28.3)	1.18 (0.6–2.2)
		<math>< -2</math> SD	12 /199 (6)	8/107 (7.5)	4/92 (4.3)	1.77 (0.5–6.1)
	Word order	<math>< -1</math> SD	85/199 (42.7)	56/107 (52.3)	39/92 (42.4)	1.4 (0.8–2.4)
		<math>< -2</math> SD	11/199 (5.5)	7/107 (6.5)	4/92 (4.3)	1.5 (0.4–5.4)
	Number recall	<math>< -1</math> SD	80/199 (40.2)	41/107 (38.3)	39/92 (42.4)	0.8 (0.5–1.5)
		<math>< -2</math> SD	9/199 (4.5)	5/107 (4.7)	4/92 (4.3)	1.1 (0.3–4.1)
Hand movements	<math>< -1</math> SD	60/199 (30.2)	37/107 (34.6)	23/92 (25%)	1.5 (0.8–2.9)	
	<math>< -2</math> SD	8/199(4)	5/107 (4.7)	3/92 (3.2)	1.4 (0.3–6.1)	
Simultaneous processing	Overall	<math>< -1</math> SD	51/199 (25.6)	30/107 (30)	21/92 (22.8)	1.3 (0.7–2.5)
		<math>< -2</math> SD	11/199 (5.5)	5/107 (4.7)	6/92 (6.5)	0.7 (0.2–2.4)
	Matrix analogies	<math>< -1</math> SD	61/199 (31)	37/107 (35)	24/92 (26)	1.5 (0.8–2.8)
		<math>< -2</math> SD	12/199 (6)	8/107 (7.5)	4/92 (4)	0.3 (0.02–2.8)
	Triangles	<math>< -1</math> SD	53/199 (27)	30/107 (28)	23/92 (25)	1.2 (0.6–2.2)
		<math>< -2</math> SD	20/199 (10)	14/107 (13)	6/92 (6.5)	2.2 (0.8–5.9)
	Spatial memory	<math>< -1</math> SD	45/199 (23)	26/107 (24)	19/92 (21)	1.3 (0.6–2.4)
		<math>< -2</math> SD	14/199 (7)	7/107 (6.5)	7/92 (7.6)	0.9 (0.3–2.5)
	Gestalt closure	<math>< -1</math> SD	23/199 (12)	15/107 (14)	8/92 (9)	1.7 (0.7–4.2)
		<math>< -2</math> SD	4/199 (2)	2/107 (1.9)	2/92 (2)	0.85 (0.1–6.2)

CI = confidence interval; MPC = Mental Processing Composite; SD = standard deviation

veloped cerebral palsy had brain lesions visible on a head ultrasound scan.

Visual and hearing impairments were found in 36 (15%) and 12 children (5.1%), respectively. Of these, one child was blind, two were deaf and four had both visual and hearing impairment. Visual and hearing impairments were similar in children born <28<sup>0/7</sup> GW and children born between 28<sup>0/7</sup> and 29<sup>6/7</sup> GW (25/112 [22%] and 23/123 [8%], respectively). Sex was not associated with the frequency of cerebral palsy, or visual and hearing impairments.

### Early intervention and therapies

Up to the 5-year follow-up, 103/235 (44%) children had at least one intervention/therapy at some point during their early years of life. At the 5-year follow-up, 63 children (27%) still needed one or more services. Speech therapy was the most frequently prescribed service (12%), followed by occupational therapy (9%), physical therapy (6%), early intervention (6%), psychomotor therapy (4.5%) and psychological support (2.5%). Need for therapy was similar in children born <28<sup>0/7</sup> GW and those born between the 28<sup>0/7</sup> and 29<sup>6/7</sup> GW (table 4). The median socioeconomic status scores of families of children with and without the utilisation of service(s) did not differ (median 6, IQR 6–9). Boys used more services than girls (42/87 vs 21/104,  $p = 0.04$ ). The mean MPC score in children still under early intervention or therapy at 5-year follow-up was 11.0 points lower than that in children who never used services at all (95% CI: –17.5 to –4.5,  $p < 0.001$ ). Out of the 63 children still under early intervention or therapy, 23 had at least one of three neurological morbidities, such as cerebral palsy (11 children), visual impairment (14 children, and auditory impairment (5 children).

### Risk factors for cognitive impairment and need for early intervention or therapies

Univariable analyses showed that the risk of having cognitive impairment was higher for boys, children with major brain lesions and for children living in families with low socioeconomic status. In the multivariable model, the single strongest predictor for cognitive impairment was a low socioeconomic status score (adjusted OR 1.2, 95% CI 1.06–1.4; table 5).

In the multivariable model, any current use of a service at 5-year follow-up was associated with male sex (adjusted OR 2.0, 95% CI 1.0–3.9), major brain lesions (adjusted OR 2.7, 96% CI 1.3–5.7), and lower socioeconomic status score (adjusted OR 2.7, 95% CI 1.3–4.9).

### Discussion

This prospective national cohort study showed that the majority of children born <30 GW in Switzerland in 2006 had a favourable cognitive, motor and sensory outcome at early school age. Although they had cognitive scores in the lower normal range on average, a fifth of the children demonstrated cognitive impairment and subtest analyses showed that impairment was greatest in short-term memory. Whereas at least 40% of the children had used educational health services at some time point during the past, only a quarter of them still attended one or more services at the time of the 5-year follow-up assessment. Lower socioeconomic status was the only factor associated with cognitive impairment in our study population.

**Table 3:** Motor and sensory impairment at early school age by gestational age.

		All children 24 <sup>0/7</sup> –29 <sup>6/7</sup> GW n = 235	Extremely preterm children 24 <sup>0/7</sup> –27 <sup>6/7</sup> GW n = 112		Very preterm children 28 <sup>0/7</sup> –29 <sup>6/7</sup> GW n = 123	
		n (%)	n (%)	95% CI	n (%)	95% CI
Cerebral palsy	All	14/235 (6)	6/112 (5.4)	2.4–11.5	8/123 (6.5)	3.3–12.5
	GMFCS level 1–2*	7/235 (3)	4/112 (3.6)	1.3–6.1	3/123 (2.4)	0.7–7.4
	GMFCS level 3–5	6/235 (2.5)	2/112 (1.8)	0.4–6.9	4/123 (3.3)	1.2–8.4
Visual impairment	Minor	35/235 (14.9)	18/112 (16.1)	10.3–24.2	17/123 (13.8)	8.7–21.2
	Major	1/235 (0.4)	1/112 (0.9)	n.a.	0	n.a.
Hearing impairment	Minor	10/235 (4.3)	5/112 (4.5)	1.8–10.4	5/123 (3.9)	1.9–9.2
	Major	2/235 (0.9)	1/112 (0.9)	n.a.	1/123(0.8)	n.a.

GMFCS = Gross motor function classification system. GMFCS level 1–2: mildest form, child can walk independently; GMFCS level 3–5: child uses device or requires physical assistance to walk. \* GMFCS level for one child is unknown. For all comparisons between extremely preterm children and very preterm children ( $p > 0.05$ )

**Table 4:** Early intervention and therapies at early school age by gestational age group.

	All cohort 24 <sup>0/7</sup> –29 <sup>6/7</sup> n = 235	Children born 24 <sup>0/7</sup> –27 <sup>6/7</sup> n = 112	Children born 28 <sup>0/7</sup> –29 <sup>6/7</sup> n = 123	p-value
Any therapies/early intervention*	63/235 (27%)	33/112 (29.5%)	30/123 (24.4%)	
One therapy	13/235 (5.5%)	7/112 (6.3%)	6/123 (4.9%)	0.4
Multiple therapies	50/235 (21.3%)	26/112 (23.2%)	24/123 (19.5%)	0.84
Physiotherapy	14/235 (6%)	8/112 (7.1%)	6/123 (4.9%)	0.58
Occupational therapy	21/235 (8.9%)	11/112 (9.8%)	10/123 (8.1%)	0.65
Speech therapy	29/235 (12.3%)	15/112 (13.4%)	14/123 (11.4%)	0.64
Early intervention*	14/235 (6%)	7/112 (6.3%)	7/123 (5.7%)	1
Psychomotor therapy	10/235 (4.3%)	6/112 (5.4%)	4/123 (3.3%)	0.52
Child psychology or psychiatry	6/235 (2.6%)	2/112 (1.8%)	4/123 (3.3%)	0.7

Values are numbers (percentage); p-values are from Fisher exact test for ratios \* Early intervention is a weekly stimulation at home by a therapist with the aim to promote the cognitive development and to support the parent in their educative role.



In this study, neonatal mortality depended strongly on gestational age and was slightly lower for EP infants than reported in other international cohorts [2, 19].

Compared to the K-ABC norms, our study population showed cognitive scores in the lower normal range. These results are not surprising, as group means of cognitive performance in VP children have been shown to be one half to two thirds of a standard deviation below the group means of term-born children [20]. Our results are in line with a recent meta-analysis [21] that included 44 case-control studies using different IQ measures in 4- to 17-year-old children born VP, in the period from 1980 to 2009. The meta-analysis showed a 12-point difference in IQ (0.8 SD) for preterm children in comparison to their term-born peers. This corresponds to a mean performance within the normal range, but group means do not necessarily reflect the heterogeneity within the group. In our study, the subscore analysis of cognitive outcomes revealed that a large proportion of the children born before 30<sup>0/7</sup> GW (40%) showed low performance in two subtests of verbal short-term memory and visual short-term memory (31%). Deficits in all memory domains have been reported in VP children, with VP children being 2–3 times more likely to have memory impairments than term-born control children [22]. Considerable difficulties in visual short-term memory, visuospatial and visual processing, logical reasoning and spatial memory have been reported in school-age VP children [23]. Our results are in line with the current literature on cognitive difficulties in VP children and highlight the need for early detection and adequate support.

The prevalence of cerebral palsy in our population (6%) was comparable to that described in literature rendered for the same gestational age and period of time [24]. Comparison of our rates of visual and hearing impairment with those of other cohorts is difficult, because different definitions were used. However, our rate of major visual impairment (0.4%) was similar to the blindness rate at 5 years of age reported in the EPIPAGE Cohort Study (0.7%) in children born 24<sup>0/7</sup>–29<sup>6/7</sup> GW [25].

As in previous studies, we found that the socioeconomic level of the family was associated with impaired cognitive outcome during early schooling. A recent systematic review based on 12 studies explored the predictive factors of cognitive development at the age of 5–13 years in children born before 33<sup>0/7</sup> GW and identified the level of parental education as a prognostic factor for cognitive outcome,

whereas younger gestational age and parental income/occupation had little prognostic value [26].

The current study found the proportion of children under any form of intervention or therapy at early school age (27%) slightly lower than that reported in a Dutch study (40% of 64 children born <30<sup>0/7</sup> GW) [27] and in the EPIPAGE cohort (36% of 922 children born <31<sup>0/7</sup> GW). In our study, only major brain lesions and lower socioeconomic status score were associated with use of therapies at a median age of 5.7 years. Out of the children undergoing one or several interventions or therapies at that age, only 36.5% had neurological morbidities, such as cerebral palsy, visual impairment or auditory impairment. Although a few randomised controlled studies have assessed the efficiency of early interventions over the first year of life in infants without neurosensory impairments [28], the need for therapies can be considered a good indirect indicator for the existence of developmental difficulties in children born VP and should encourage further diagnostic investigations to provide them with more specific educational support.

This study has several clinical implications. VP children, including those with no apparent morbidities, should have neuropsychological follow-up in order to monitor cognitive problems. Preterm children living in unfavourable social circumstances are particularly vulnerable. The combination of VP birth and low parental socioeconomic status creates a situation in which children are exposed to a combination of biological and environmental risk factors that may impact their cognitive development.

A first limitation of our study was the sample size (n = 235) eligible for the 5-year follow-up assessment, which corresponds to a rate of 80%. Sackett et al. suggested that a dropout rate of more than 20% poses a serious threat to validity [29]. However, neonatal baseline characteristics and social background did not differ between participants and nonparticipants, meaning that the sample examined might be considered as representative for the whole cohort. A second limitation was the exclusion of 22 children without access to national 5-year follow-up. Monitored children might have been at a higher risk of poor cognitive outcomes than children born in a centre where follow-up was not performed routinely. Therefore, there is a possible slight underestimation of cognitive outcomes in our cohort. A third limitation is the absence of a control group of children born at term. For this reason, we relied on test norms as a baseline in the estimate of the level of cogni-

**Table 5:** Factors associated with an impaired cognitive outcome defined as a MPC score <-1SD at early school age.

	Univariable		Multivariable	
	OR (95% CI)	p-value	OR (95% CI)	p-value
Born extremely preterm* (24 <sup>0/7</sup> –27 <sup>6/7</sup> GW)	0.9 (0.7–1.1)	0.30		
Birthweight z-score	1.4 (0.9–2.2)	0.11	0.64 (0.4–1)	0.051
Multiple gestation	1.9 (0.9–3.8)	0.09	0.57 (0.05–4.22)	0.67
<b>Male gender</b>	<b>2.3 (1.1–4.7)</b>	<b>0.03</b>	2.1 (0.95–6.6)	0.06
Patent ductus arteriosus	0.76 (0.4–1.5)	0.40		
Antenatal corticosteroids (completed)	1.04 (0.4–3)	0.90		
Necrotising enterocolitis	0.46 (0.2–1.3)	0.10	0.2 (0.02–1.8)	0.15
Neonatal sepsis	1.5 (0.7–3.3)	0.30		
Bronchopulmonary dysplasia	1.5 (0.3–8.1)	0.60		
<b>Major brain lesions</b>	<b>2.9 (1–8.2)</b>	<b>0.04</b>	2.3 (0.7–7.7)	0.15
<b>Socioeconomic status†</b>	<b>3.9 (1.4–10.6)</b>	<b>0.007</b>	<b>1.2 (1.06–1.4)</b>	<b>0.009</b>

MPC = Mental Processing Composite; CI = confidence interval; OR = odds ratio \* Compared with infants born very preterm (28<sup>0/7</sup>–29<sup>6/7</sup>) † Analysed as a continuous variable

tive functioning of the study children. As the sociodemographic characteristics of the VP children assessed in this study are likely to differ from the standardisation sample, we might have underestimated the rates of impairment in our Swiss cohort. Fourth, while we report cognitive findings at the subtest level, it is important to note that the subtests are part of the K-ABC test battery, which is designed to measure general cognitive ability. These measures can provide additional information about the nature of cognitive difficulties, but specialised neuropsychological measures are necessary for detecting specific cognitive weakness.

## Conclusion

This is the first study to provide an overview of the cognitive and neurological outcomes at an early school age for children born  $<30^{0/7}$  GW in Switzerland. Compared to larger, well-known international cohort studies, our results revealed similar cognitive, motor and sensory outcomes. We found that one fifth of children showed cognitive impairments, and two fifths showed poor short-term memory. VP children often need one or several interventions or therapies, even when they are not diagnosed with severe neurodevelopmental impairment. Our findings highlight the need to offer long-term neuropsychological follow up in children born  $<30^{0/7}$  GW, in particular children from socially disadvantaged families.

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## Potential competing interests

Mark Adams receives a salary as network coordinator for the Swiss Neonatal Network and Follow-up Group. No other potential conflict of interest relevant to this article was reported.

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## Appendix 1

## Supplementary tables

**Table S1:** Mortality and cognitive outcome at early school age according to gestational age.

		Death	Median MPC (IQR)	MPC 85 (-1SD)	MPC 84-70 (<-1; -2SD)	MPC<70 (<-2SD)
Whole cohort		92/381 (24.1%)	92 (86–101)	157/199 (79%)	35/199 (18.5%)	7/199 (3.5%)
Gestational age (weeks)	EP	82/217 (37.8%)	93 (87–101)	78/95 (82.1%)	15/95 (15.8%)	2/95 (2.1%)
	VP	10/164 (6.1%)	92 (85–101)	79/104 (76%)	20/104 (19.2%)	5/104 (4.8%)
	p-value	<b>&lt; 0.001</b>	0.27	0.30	0.50	0.45
Completed weeks	23	19/19 (100%)				
	24	24/33 (73%)	89 (78–99)	5/7 (71%)	1/7 (14%)	1/7 (14%)
	25	13/38 (34%)	96 (91–107)	19/21 (90%)	2/21 (10%)	-
	26	17/58 (29%)	92 (87–101)	26/30 (87%)	4/30 (13%)	-
	27	9/69 (13%)	93.5 (86–101)	42/51 (82%)	8/51 (16%)	1/51 (2%)
	28	4/77 (5%)	92 (84–101)	46/59 (78%)	11/59 (19%)	2/59 (3%)
	29	6/87 (6.9%)	91 (85–101)	52/64 (81%)	9/64 (14%)	3/64 (5%)

EP = extremely preterm infants (born between 24<sup>0/7</sup> and 27<sup>6/7</sup> gestational weeks); MPC = Mental Processing Composite; IQR = interquartile range; SD = standard deviation; VP = very preterm infants (born between 28<sup>0/7</sup> and 29<sup>6/7</sup> gestational weeks) p-values are from by t-tests for continuous variables, from Fisher exact or chi-squared tests for categorical or binary outcomes.

**Table S2:** Comparison of baseline and neonatal characteristics of children with a follow-up at early school age and children excluded due to the lack of access to the national follow-up program at 5 years of age.

	Children with follow-up (n = 235) n (%) or median (IQR)	Excluded children (n = 22) n (%) or median (IQR)	p-value
Antenatal steroids (completed)	209 (89%)	19 (86%)	0.72
Caesarean delivery	182 (77%)	16 (73%)	0.61
<b>Singleton</b>	163 (69.3%)	22 (100%)	<b>0.001</b>
Inborn	218 (92.8%)	22 (100%)	0.2
Male gender	129 (55%)	14 (63%)	0.5
Gestational age (weeks)	28.1 (26.7–29)	28.3 (26.6–29.1)	0.92
Birthweight z-score	-0.04 (-0.65–+0.53)	0.29 (-0.32–+0.4)	0.61
Umbilical artery pH	7.32 (7.27–7.35)	7.22 (7.16–7.22)	0.96
PDA with surgical ligation	9 (4%)	0	0.99
Bronchopulmonary dysplasia	9 (4%)	0	0.99
Necrotising enterocolitis	10 (4.3%)	0	0.99
Proven neonatal sepsis	46 (19.6%)	3 (13%)	0.77
Major brain lesions	22 (9.3%)	3 (13.6%)	0.45
ROP stage 3 or higher	5 (2%)	0	0.99
Length of hospital stay (in days)	76 (59–73)	86 (65–100)	0.4
SES score at birth	6 (4–8)	6 (5–7)	0.20

IQR = interquartile range; PDA = patent ductus arteriosus; ROP = retinopathy of prematurity; SES = socioeconomic status (score range 2–12; score 2 is the highest socioeconomic level, 12 the lowest socioeconomic level). p-values are from the Mann-Whitney U-test for continuous variables, and Fisher exact or chi-squared tests for categorical or binary outcomes. Proven neonatal sepsis is categorised into early- and late-onset sepsis. Major brain lesions included cystic leukomalacia and intraventricular haemorrhage grade 3 and 4.